NOV 1 3 2006

Attorney's Case No. 11136-198 (HCG 0156 PUS)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	<b>)</b>
Kam Lun Chui et al.	Examiner: Theresa T. Snider
Serial No.: 10/665,544	) Group Art Unit: 1744
Filing Date: September 19, 2003	)
For : Vacuum Cleaner with Level Measurement	) ) )

Statement of Non-Deceptive Intent By Person Being Added by Petition To Change Inventorship In Non-Provisional Patent Application Under 37 C.F.R. § 1.48(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir.

I, Simon P. Griffiths, the person who is being added as an inventor by the petition being submitted to correct the inventorship of this patent application, do hereby declare that the inventorship error in failing to include my name as an inventor on this patent application occurred without any deceptive intention on my part.

Respectfully submitted,

15 Sept 2006

Simon P. Griffiths

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Our Case No. 11136-198 (HCG 0156 PUS)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kam Lun Chui et al.

Serial No. 10/665,544

Filing Date: September 19, 2003

For: Vacuum Cleaner

Examiner Theresa T. Snider
Group Art Unit No. 1744

# ASSENT OF ASSIGNEE TO CORRECTION AND/OR ADDITION OF INVENTORS

1. Assignee Name and Address: Techtronic Industries Company Limited

24/F CDW Building

388 Castle Peak Road, Tseun Wan

New Territories, Hong Kong

- 2. The assignee hereby assents to the correction of inventorship filed herewith.
  - 3. A Statement under 37 C.F.R. § 3.73(b) is attached.
  - 4. The person signing this document is authorized to act on behalf of the

assignee.

Respectfullyau

15 Sep 2001

Dated

Agent - Techtronic Industries Company

Limited

11136-198 (HCG 0156 PUS).

### PATENT APPLICATION STATEMENT UNDER 37 CFR 3.73(b)

invento	(s): <u>Kam</u>	Lun Chui et al.				
Serial N	o.: <u>10/66</u>	5,544, Case No.: <u>11136-198,</u> Filing	Date: <u>September 1</u>	9_2003		
Title: <u>Va</u>	cuum Cle	eaner				
Assigne	e: <u>Tech</u>	tronic Industries Company Limited, a	Hong Kong Com	рапу		•
Techtro	nic Indust	tries Company Limited is:				
1.	×	the assignee of the entire right, title	and interest; or			
2,	a co-assignee of an entire and undivided interest;					
in the pa	atent app	lication identified above by virtue of	either:			
Ø	and Tra	ent(s) from inventors Kam Lun Chu demark Office on December 19, 200 non P. Griffiths is attached herein	i, Sai Kei Wong, ar 13 at Reel <u>014804</u> ,	nd Kam I Frame <u>(</u>	Hoi Ma were recorded in the F <u>0165</u> , and a copy of the assig	atent nment
or []		of title from the inventor(s), of the page as shown below:	itent application ide	entified a	above, to the current	
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Ser	or other	s to additional recorded documents in pertinent documents are attached.  15 2006	n the chain of title	or copie	s of additional assignments	
Date			Signature	, ,	Frank Chan	
			Typed or Printed	Name	Group Executive Director	
			Title Who is duly authorities Compa		sign of behalf of Techtronic ed	
Signed a		ng Kong	15 _ on this		Septemberday of	2006

11136-198 (HCG 0156 PUS)

# PATENT APPLICATION STATEMENT UNDER 37 CFR 3.73(b)

Invent	tor(s): <u>Ka</u> ı	n Lun Chui et al.			
Serial	No.: <u>10/6</u>	65,544, Case No.: <u>11136-198,</u> Filin	ng Date: September 19, 200	33	
	Vacuum (			•	
Assign	nee: <u>Tec</u>	htronic Industries Company Limited	1 a Hong Kong Company		
		stries Company Limited is:	, a trong tong company		
1.	⊠.	the assignee of the entire right, ti	illa and interest		
2.	_	a co-assignee of an entire and u			
in the s	_	dication identified above by virtue o			
Ø		nent(s) from inventors Karn Lun Ch Idemark Office on December 19, 20 mon P. Griffiths is attached herein	ui, Sai Kei Wong, and Kam 003 at Reel <u>014804,</u> Frame	Hoi Ma were recorded in the 0165, and a copy of the assi	Paten gnmen
OF					
	a chain assigne	of title from the inventor(s), of the pe as shown below:	patent application identified	above, to the current	
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Ser		15 2006		N	
·Oate			Signature	Frank Chan	•
			Typed or Printed Name		
				Group Executive Director	
			Title Who is duly authorized to Industries Company Limite	sign of behalf of Techtronic	
•		g Kong	15	September	
Signed a	t		on this		2006.

Attorney Docket No. 11136-198 Client Reference No. HCG 0156 PUS

# COMBINED DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION (37 C.F.R. §1.63)

As a below named inventor, I hereby declare:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor or an original, first and joint Inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled:

# VACUUM CLEANER WITH LEVEL MEASUREMENT

the spe	cification of which (chec	ck one)-				
	is attached hereto.					
×	was filed on September 19, 2003 as United States Application No. 10/665,544 and was amended in an amendment filed on September 14, 2006.					
l hereb claims,	y state that I have revious as amended by any an	ewed and understand the onendment referred to above	contents of the above identified specification, including the e.			
be mai	erial to the patentability		Patent and Trademark Office all information that I know to fined in 37 C.F.R. §1.56, including for continuation-in-part ple between the filing date of the prior application and the on-in-part application.			
patent design checki Interna	I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or (f), or §365(b) of any foreign application(s) for patent or inventor's or plant breeder's rights certificate(s), or §385(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's or plant breeder's rights certificate(s) or PCT international application having a filing date before that of the application on which priority is claimed.  Priority  Not Claimed					
111011	oreign Application(s):					
(Numb	er)	(Country)	(Filing Date, MM/DD/YYYY)			
(Numb	•	(Country)	(Filing Date, MM/DD/YYY)			
I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:						
i herei	by claim the benefit und	ler 35 U.S.C. §119(e) of ar	ny United States provisional application(s) listed below:			
	oy claim the benefit und	(Filing Date, MM/DD/YY)				
(Appli			(Status: pending, or abandoned)			

Attorney Docket No. 11136-198 Client Reference No. HCG 0156 PUS

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §385(c) of any PCT International Application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filling date of this application.

(Application Serial No.)	(Filing Date, MM/00/YYY)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

I HEREBY APPOINT the following Practitioners as my attorneys, with full power of substitution and revocation, to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent(s), to transact all business in the United States Patent and Trademark Office connected therewith, and to act on my behalf before the competent International Authorities in connection with any and all international applications filed by me:

Name (Reg. No.)

Stephen C. Smith, Reg. No. 56,250

G. Peter Nichois, Reg. No. 34,401

Robert S. Mallin, Reg. No. 35,596

PLEASE RECOGNIZE or change the CORRESPONDENCE ADDRESS for this application to the address associated with the following Customer Number:

### Customer No. 00757 - Brinks Hofer Gilson Lione

PLEASE DIRECT all telephonic and facsimile communications to:

Stephen C. Smith
Tel: (312) 321-4200; Fax: (312) 321-4299

杨柳柳.

Kam Lun Chui	
Sole or first inventor's signature	Date
Residence (City, State/Foreign Country)	
Hong Kong, China	
Citizenship	
Chinese	

Attorney Docket No. 11138-198 Client Reference No. HCG 0156 PUS

Full name of second inventor, if any Sai Kei Wong	
Second inventor's signature	2) 54p 06
Residence (City, State/Foreign Country)	
Hong Kong, China Catzenship	
Chinese Mailing Address	THE TAXABLE PART OF SALVING FUN
Rm. B, 7/F, Bik. 2, CONNAUGHT GARDEN, 155 CONNAUGHONG KONG	GHT ROAD WEST, SAI TING POIN,
Full name of third inventor, if any Karn Hoi Ma	
Third Inventor's signature	Date
17	4 007 06
Residence (City, State/Foreign Couplry) Hong Kong, China	
Citizenship Chinese	
Mailing Address Flat C, 44/F, Block 10, Metro Harbour View, Tai Kok Tsui, K	owloon.
Full name of fourth Inventor, if any Simon P. Griffiths	
Fourth Inventor's signature	3 oct 2006
Residence (City, State/Foreign Country) Hong Kong, China	
Cazenship United Kingdom	
Mailing Address 5A Woodgreen Court, Discovery Bay, Lantau Island, Hong	Kong
Full name of fifth inventor, if any	•
Fifth inventor's signature	Date
Residence (City, State/Foreign Country)	
Citizenship	
Mailing Address	
Full name of sixth inventor, if any	
Slith inventor's signature	Date
Residence (City, State/Forcign Country)	
Citizenship	
Mailing Address	

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Respectfully submitted,

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PAGE 1/16 \* RCVD AT 11/13/2006 3:40:08 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/19 \* DNIS:2731277 \* CSID:13123214299 \* DURATION (mm-ss):04-14

### **FACSIMILE COVER SHEET**

RECEIVED CENTRAL FAX CENTER NOV 1 3 2006 BRINKS HOFER GILSON &LIONE

A Professional Corporation Intellectual Property Attorneys

NBC Tower - Suite 3600

455 N. Cityfront Plaza Drive

Chicago, Illinois 60611-5599 Facsimile 312-321-4299

Telephone 312-321-4200

Date:

November 13, 2006

To:

**Examiner Snider** 

Fax No:

571-273-1277

From: Tel. No:

Stephen Smith 312 222-8124

Client No:

11136-198

Confirmation Copy To Follow:

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Indianapolis, iN Detroit, Mi

Toledo, OH

Arlington, VA

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSSAGE.
PLEASE CALL 312-321-4200 AND ASK FOR: Sherri L. McCrainey. Ext. 3439

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

Yes

### **COVER MESSAGE:**

Good Afternoon, attached are all the papers that we filed on October 26, 2006 with US Patent and Trademark Office.

Thanks Sherri Serial No.

10/665,544 Filed: 09/19/03

Applicant:

Kam Lung Chui et al.

Client/Matter No.: 11136/198 (HCG 0156 PUS)

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Please acknowledge receipt of the helow identified:

Items Mailed: Transmittal (in dup.): Amendment Request and Fee to Correct Inventorship: Combined Declaration and Power of Attorney; Assent of Assignee to Correction; Statement of Non-Deceptive Intent; Assignment with Recordal of Assignment; Checks for \$130 (Amendment Fee) & \$40 (Assignment Fee); and Return Posteard.

BRINKS HOFER GILSON & LIONE By: Stephen C. Smith, Reg. No. 56,250 Date of Mailing: October 26, 2006 3 10/26/2006

KAM LUNG CHUI ET AL Applicant 10/665,544 Serial No.: September 19, 2003 Filed: 11136/198 (HCG 0156 PUS) Client/Matter: Transmittal (in dup.); Amendment Items Mailed: Request and Fee to Correct Inventorship; Combined Declaration and Power of Attorney; Assent of Assignee to Correction; Statement of Non-Deceptive Intent; Assignment with Recordal of Assignment; Checks for \$130 (Amendment Fee) & \$40 (Assignment Fee); and Return Postcard. October 26, 2006 Date of Mailing: Q 10/26/2006

PAGE 3/16\* RCVD AT 11/13/2006 3:40:08 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-3/19\* DNIS:2731277\* CSID:13123214299\* DURATION (mm-ss):04-14

RECEIVED CENTRAL FAX CENTER NOV 1 3 2006

Our Case No. 11136-198 (HCG 0156 PUS)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kam Lun Chui et al.

Oate of Signature

Serial No. 10/665,544

Filing Date: September 19, 2003

For: Vacuum Cleaner with Level

Measurement

Examiner: Theresa T. Snider

Group Art Unit No.: 1744

# AMENDMENT REQUEST AND FEE TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48 (a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. This amendment and request is to correct the incorrect original naming of inventors in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on December 19, 2003.

# RECEIVED CENTRAL FAX CENTER

NOV 1 3 2006

Appl. No. 10/665,544

Attorney Docket No. 11136-198 (HCG 0156 PUS)

2. Please add the following previously unnamed person as an inventor of this application:

#### Simon P. Griffiths

- 3. Enclosed is a signed statement that the error of inventorship was not caused through any deceptive intent on the part of the new added inventor, and a declaration for this application signed by all inventors. The consent of the assignee, Techtronic Industries Company Limited, is also enclosed, along with required statement under 37 C.F.R. § 3.73(b).
- 4. Fee Payment. The fee required is paid by the enclosed check for \$130.00. Please charge any additional fees required by this paper or credit any overpayment to Deposit Account 23-1925 (Brinks Hofer Gilson & Lione). A duplicate copy of this paper is enclosed.

Respectfully,

Stephen C. Smith Reg. No. 56,250

Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200

11/13/2006 15:40 13123214299

BRINKS FAX

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PAGE 09/15

Cheriomatter No. 11136-102	71011		
KECUKUA	ATION FORM COVE	ER SHEET PAT	rents only
1. Name of conveying Party(ies)/E	nd Trademark Office: Please	e record the attached do	ocuments or the new address(es) below: dress of receiving Party(ies);
		Z. Name and Add	aress of receiving Party(les):
SIMON P. GRIFF	ITHS	Name:	TECHTRONIC INDUSTRIES COMPANY LIMITED
Additional name(s) of conveying party	(ies) attached?	Internal Address:	24/F CDW Buidling
☐ Yes ⊠ No		Street Address:	388 Castle Peak Road
	: .	City:	Tsuen Wan,
· .		State:	New Territories,
		Country:	HONG KONG Zip:
		Additional name(s) an	nd addresses attached?  Yes  No
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Assignment     Assignment	Change of Name	☐ Exec	utive Order 9424, Confirmatory License
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Our Ref. No. 11136-198 Client Ref. No.: (HCG 0156 PUS)

### **ASSIGNMENT**

WHEREAS, <u>Simon P. Griffiths</u> hereinafter called the "Assignors", have made the invention <u>with others</u> described in the United States patent application entitled <u>Vacuum</u> <u>Cleaner with Level Meausrement</u>, application number <u>10/665,544</u> filed on <u>September 19</u>, <u>2003</u>, executed by Assignors on the same date or a date prior to this Assignment;

WHEREAS, <u>Techtronic Industries Company Limited</u>, a corporation organized and existing under the laws of <u>Hong Kong</u>, having a place of business at <u>24/F CDW Building</u>, <u>388 Castle Peak Road</u>, <u>Tsuen Wan</u>, <u>New Territories</u>, <u>Hong Kong</u>, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and

patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATE:

15 Sept 2006.

Simon P. Griffiths

5A Woodgreen Court

Discovery Bay Lantau Island

Hong Kong

WITNESSED:

DATE:

Soy 6-6- 15 2006

Uhr Ken

DATE:

15 Sept 2006